

Penallta House,
Tredomen Park,
Ystrad Mynach,
Hengoed CF82 7PG

Ty Penallta,
Parc Tredomen,
Ystrad Mynach,
Hengoed CF82 7PG



www.caerphilly.gov.uk
www.caerffili.gov.uk

For all enquiries relating to this agenda please contact Charlotte Evans
(Tel: 01443 864210 Email: EVANSCA1@caerphilly.gov.uk)

Date: 3rd April 2018

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held **Sirhowy Room, Penallta House, Tredomen, Ystrad Mynach on Tuesday, 10th April, 2018 at 2.00 pm** to consider the matters contained in the following agenda. Councillors and the public wishing to speak on any item can do so by making a request to the Chair. You are also welcome to use Welsh at the meeting, both these requests require a minimum notice period of 3 working days, and a simultaneous translation will be provided if requested.

All Committee meetings are open to the Press and Public, observers and participants are asked to conduct themselves with respect and consideration for others. Please note that failure to do so will result in you being asked to leave the meetings and you may be escorted from the premises.

Yours faithfully,

A handwritten signature in black ink, appearing to read 'Chrissy'.

Christina Harrhy
INTERIM CHIEF EXECUTIVE

AGENDA

| | Pages |
|-------------------------------------|-------|
| 1 To receive apologies for absence. | |
| 2 Declarations of Interest. | |

A greener place Man gwyrddach



Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

To approve and sign the following minutes: -

- | | | |
|---|--|-------|
| 3 | Audit Committee held on 30th January 2018. | 1 - 6 |
|---|--|-------|

To receive and consider the following reports: -

- | | | |
|---|--|---------|
| 4 | Certification of Grants and Returns 2016-17 - Caerphilly County Borough Council. | 7 - 20 |
| 5 | Corporate Risk Register Monitoring (Q3 – 2017/18). | 21 - 30 |
| 6 | Outcome of External Peer Review on Compliance with the Public Sector Internal Audit Standards. | 31 - 50 |
| 7 | Internal Audit Services Annual Audit Plan. | 51 - 60 |
| 8 | 2018 Audit Plan - Caerphilly County Borough Council. | 61 - 90 |
| 9 | Audit Committee Forward Work Programme. | 91 - 94 |

To receive and note the following information items:-

- | | | |
|----|--|----------|
| 10 | Officers Declarations of Gifts and Hospitality - October to December 2017. | 95 - 98 |
| 11 | Regulation of Investigatory Powers Act 2000. | 99 - 100 |

**If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Charlotte Evans, 01443 864210, by 10.00 a.m. on Monday 9th April 2018.*

Circulation:

Councillors Mrs E.M. Aldworth, J. Bevan, D.T. Davies, C. Elsbury, A. Gair, Ms J. Gale, D.T. Hardacre, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), G. Simmonds and J. Simmonds

Lay Member – Mr N.D. Yates (Vice Chair)

Auditors –Ms N. Jenkins (Wales Audit Office), Ms G. Hawkins (Grant Thornton UK LLP) and Mr B. Morris (Grant Thornton UK LLP)

And Appropriate Officers.